Sheet 1 of 1 APPLICATION NO. ATTY, DOCKET NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE 09/991,283 69273-0013 APPLICANTS INFORMATION DISCLOSURE STATEMENT BY APPLICANT Elliott FARBER 1078 **GROUP FILING DATE** Jse several sheets if necessary) 1617 November 13, 2001 U.S. PATENT DOCUMENTS FILING DATE EXAMINER CLASS **SUBCLASS** PATENT ISSUE PATENTEE NUMBER DATE APPROPRIATE INITIAL FRANCE et al. 252 309 12/16/1977 01/22/1980 **A1** 4,184,978 424 74 10/22/1985 GROLLIER et al. 4,767,618 08/30/1988 A2 424 59 03/13/1987 04/18/1989 GOODE et al. A3 4,822,601 06/12/1990 GROLLIER et al. 424 74 06/15/1988 A4 4,933,177 YAMANAKA et al. 424 448 04/17/1991 01/05/1993 A5 5,176,916 424 443 04/15/1994 5,476,664 12/19/1995 ROBINSON et al. A6 02/19/1997 05/19/1998 FOWLER et al. 424 401 A7 5,753,245 VENKITARAMAN et al. 424 402 10/07/1996 02/16/1999 **A8** 5,871,762 06/20/2000 **TOMINAGA** 424 401 02/13/1998 6,077,520 Α9 05/05/1999 YAMAGUCHI et al. 514 562 01/02/2001 A10 6,169,114 B1 08/28/2001 **FARBER** 514 390 07/23/1999 A11 6,281,236 B1 6,329,413 B1 12/11/2001 **FARBER** 514 390 05/12/2000 A12

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|  | Cite<br>No. 1                             | Cite No. 1 Number - Kind Code <sup>2</sup> (if known)  US-5,658,559  US-5,661,170  US-5,736,128  US-5,824,666  US-5,827,870  US-5,830,483  US-5,863,548  US-5,871,754 | Cite No. 1         Document Number Number         Publication Date MM-DD-YYYY           US-5,658,559         08/19/97           US-5,661,170         08/26/97           US-5,736,128         04/07/98           US-5,824,666         10/20/98           US-5,827,870         10/27/98           US-5,830,483         11/03/98           US-5,863,548         01/26/99           US-5,871,754         02/16/99 |  |  |  |  |  |  |

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| Examiner<br>Initials  | Cite<br>No.1                                     | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published   | T² |
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|                       |  |  |    |
|                       |  |  | -  |
| Examiner<br>Signature |  | Date Considered S/14/04  |    |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language translation is attached.

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PTO/SB/08B (10-01) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known Substitute for form 1449B/PTO INFORMATION DISCLOSURE 09/991,283 **Application Number** STATEMENT BY APPLICANT November 13, 2001 Filing Date E. Farber First Named Inventor Group Art Unit (use as many sheets as necessary) Not yet assigned Examiner Name 14358-316 of 4 Sheet 4 Attorney Docket Number

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| 7  |              | R. Cahen & A. Pessonnier, "Etude Pharmacologique de L'Allantoïnate de Dihydroxyaluminium et de L'Allantoïnate de Chlorhydroxyaluminium. IIIEffet Anti-ulcéreux," Ann. Pharm. Franc. 20: 704-713 (1962) (in French), discloses the anti-ulcer activity of the compounds dihydroxyaluminum allantoinate and chlorhydroxyaluminum allantoinate. The compounds were found to have anti-ulcer activity in rats and guinea pigs comparable to compounds such as aluminum hydrate and bismuch subnitrate.   |  |  |  |  |
|  |              | R. Cahen & A. Pessonnier, "Etude Pharmacologique de L'Allantoïnate de Dihydroxyaluminium et de L'Allantoïnate de Chlorhydroxyaluminium. IV.—Effet sur l'Ulcère Médicamenteux Expérimental," Ann. Pharm. Franc. 21: 215-222 (1963) (in French), discloses the effect of the compounds dihydroxyaluminum allantoinate and chlorhydroxyaluminum allantoinate on ulcers produced in the rat by administration of phenylbutazone or reserpine. The compounds were found to have activity against such ulcers.   |  |  |  |  |
|  |              | C. Debray et al., "Etude de Dèrivés Allantoiniques de l'Aluminium dans la Thérapeutique des Affections Gastro-<br>duodénales," Presse Méd. 70: 2643-2644 (1962) (in French) discloses the activity of the compounds<br>dihydroxyaluminum allantoinate and chlorhydroxyaluminum allantoinate administered in a complex with a polymer<br>of polyoxyethylene and polyoxypropanediol, methylhomatropine bromide, and calcium carbonate on gastrointestina<br>conditions. The complex was said to be effective against duodenal ulcer and effective in protecting the gastric<br>mucosa. |  |  |  |  |
| 1  |              |  |  |  |  |  |

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